

## Introducing: Standardized Prior Authorization Request Form

The Massachusetts Health Care Administrative Simplification Collaborative\*, a multi-stakeholder group committed to reducing health care administrative costs, is proud to introduce the Standardized Prior Authorization Form and accompanying reference guide. *This standard form may be utilized to submit a prior authorization request to a health plan for review along with the necessary clinical documentation to support the request. An accompanying reference guide provides valuable health plan specific information in one location. The Standardized Prior Authorization Form is not intended to replace payer specific prior authorization processes, policies and documentation requirements. The form is designed to serve as a standardized prior authorization form accepted by multiple health plans. It is intended to assist providers by streamlining the data submission process for selected services that require prior authorization. The form does not Support Behavioral Health, Radiology/Imaging, Pharmacy Services or other services that are outsourced by a payer to a vendor. If you are a provider currently submitting prior authorizations through an electronic transaction, please continue to do so. The standardized prior authorization form is intended to be used to submit prior authorization requests by fax (or mail).*

The following participating health plans now accept the form:

Aetna  
Blue Cross Blue Shield of Massachusetts  
Boston Medical Center HealthNet Plan  
CeltiCare  
Fallon Community Health Plan  
Harvard Pilgrim Health Care  
Health New England  
Neighborhood Health Plan  
Network Health  
Tufts Health Plan  
UniCare  
UnitedHealthcare

\* Participants of the collaborative include: HealthCare Administrative Solutions, Inc., the Employers Action Coalition on Healthcare, Massachusetts Association of Health Plans, Massachusetts Health Data Consortium, Massachusetts Hospital Association, Massachusetts Medical Society, Blue Cross Blue Shield of Massachusetts, Harvard Pilgrim Health Care, Tufts Health Plan, Neighborhood Health Plan, Network Health, Fallon Community Health Plan, Health New England, Boston Medical Center HealthNet Plan, MassHealth (ad hoc), UniCare, Wellpoint, UnitedHealthcare, Partners HealthCare, Winchester Hospital, North Adams Regional Health Center, Jordan Hospital, Harrington Hospital, Baystate Medical Center, and Atrius Health.

HealthCare Administrative Solutions (HCAS) provides access to the Standardized Prior Authorization Form and Reference Guide on its website for the convenience of health plans and their participating providers. HCAS makes no guarantee regarding the materials and disclaims any responsibility for their accuracy, completeness or compliance with health plan policies and procedures. Further it is the responsibility of each provider who completes the form to submit it to a health plan(s) according to health plan specific policies and procedures, and HCAS disclaims any responsibility for making or communicating such information to health plans.

# Standardized Prior Authorization Request Form

COMPLETE ALL INFORMATION ON THE "STANDARDIZED PRIOR AUTHORIZATION FORM".  
INCOMPLETE SUBMISSIONS MAY BE RETURNED UNPROCESSED.

Please direct any questions regarding this form to the *plan* to which you submit your request for claim review.  
The Standardized Prior Authorization Form is not intended to replace payer specific prior authorization procedures, policies and documentation requirements. For payer specific policies, please reference the payer specific websites.

|  |  |   |  |
|--|--|---|--|
| Health Plan:   |  | Health Plan Fax #:  | *Date Form Completed and Faxed:  |
| <b>Service Type Requiring Authorization<sup>1, 2, 3</sup> (Check all that apply)</b>   |  |   |  |
| <b>Ambulatory/Outpatient Services</b><br><input type="checkbox"/> Surgery/Procedure (SDC)<br><input type="checkbox"/> Infusion or Oncology Drugs   | <b>Ancillary</b><br><input type="checkbox"/> Acupuncture<br><input type="checkbox"/> Chiropractic<br><input type="checkbox"/> IVF/ART<br><input type="checkbox"/> Non-Participating Specialist   | <b>Dental</b><br><input type="checkbox"/> Adjunctive Dental Services<br><input type="checkbox"/> Endodontics<br><input type="checkbox"/> Maxillofacial Prosthetics<br><input type="checkbox"/> Oral Surgery<br><input type="checkbox"/> Restorative               | <b>Durable Medical Equipment</b><br><input type="checkbox"/> Prosthetic Device<br><input type="checkbox"/> Purchase<br><input type="checkbox"/> Renal Supplies<br><input type="checkbox"/> Rental                      |
| <b>Home Health/Hospice</b><br><input type="checkbox"/> Home Health (Please circle: SN, PT, OT, ST, HHA, MSW)<br><input type="checkbox"/> Hospice<br><input type="checkbox"/> Infusion Therapy<br><input type="checkbox"/> Respite Care | <b>Inpatient Care/Observation</b><br><input type="checkbox"/> Acute Medical/Surgical<br><input type="checkbox"/> Long Term Acute Care<br><input type="checkbox"/> Acute Rehab<br><input type="checkbox"/> Skilled Nursing Facility<br><input type="checkbox"/> Observation | <b>Nutrition/Counseling</b><br><input type="checkbox"/> Counseling<br><input type="checkbox"/> Enteral Nutrition<br><input type="checkbox"/> Infant Formula<br><input type="checkbox"/> Total Parental Nutrition  | <b>Outpatient Therapy</b><br><input type="checkbox"/> Occupational Therapy<br><input type="checkbox"/> Physical Therapy<br><input type="checkbox"/> Pulmonary/Cardiac Rehab<br><input type="checkbox"/> Speech Therapy |
| <b>Transportation</b><br><input type="checkbox"/> Non-emergent Ground<br><input type="checkbox"/> Non-emergent Air   | <input type="checkbox"/> Other—please specify:   |   |  |
| <b>Provider Information (*Denotes required field)</b>  |  |   |  |
| *Requesting Provider Name and NPI#:  |  | *Phone:   | Fax:   |
| *Servicing Provider Name and NPI# (and Tax ID if required):<br><br><input type="checkbox"/> Same as Requesting Provider  |  | *Phone:   | Fax:   |
| *Servicing Facility Name and NPI#:<br><input type="checkbox"/> Same as Requesting Provider   |  | *Phone:   | Fax:   |
| *Contact Person:   |  | *Phone:   | Fax:   |
| <b>Member Information (*Denotes required field)</b>  |  |   |  |
| *Patient Name:   |  | * <input type="checkbox"/> Male <input type="checkbox"/> Female   | *DOB:  |
| *Health Insurance ID#:<br><i>If other insurance, please specify:</i>   |  | *Patient Account/Control Number:  |  |
| Address:   |  | Phone:  |  |
| <b>Diagnosis/Planned Procedure Information (*Denotes required field)</b>   |  |   |  |
| *Principal Diagnosis Description:<br><br>ICD-9 Codes:  |  | *Principal Planned Procedure (Description and CPT/HCPCS Code):<br><br># of Units Being Requested:<br><input type="checkbox"/> Hours <input type="checkbox"/> Days <input type="checkbox"/> Months <input type="checkbox"/> Visits <input type="checkbox"/> Dosage |  |
| *Secondary Diagnosis Description:<br><br>ICD-9 Codes:  |  | *Secondary Planned Procedure (Description and CPT/HCPCS Code):<br><br># of Units Being Requested:<br><input type="checkbox"/> Hours <input type="checkbox"/> Days <input type="checkbox"/> Months <input type="checkbox"/> Visits <input type="checkbox"/> Dosage |  |
| *Service Start Date:   |  | *Service End Date:  |  |

<sup>1</sup> Please attach plan specific templates that are required for supporting clinical documentation.

<sup>2</sup> Not all services listed will be covered by the benefits in a member's health plan product.

<sup>3</sup> This form does not replace payer specific prior authorization requirements.

# Standardized Prior Authorization Request Form Reference Guide

## Participating Health Plans



## STANDARDIZED PRIOR AUTHORIZATION REQUEST FORM REFERENCE GUIDE

The Standardized Prior Authorization Request Form is not intended to replace payer specific prior authorization procedures, policies and documentation requirements. For payer specific policies, please reference the payer specific websites.

### What is the purpose of the form?

The form is designed to serve as a standardized prior authorization form accepted by multiple health plans. It is intended to assist providers by streamlining the data submission process for selected services that require prior authorization. It is important to note that an eligibility and benefits inquiry should be completed first to confirm eligibility, verify coverage, and determine whether or not prior authorization is required by the member's plan.

### Who should use this form?

If you are a provider currently submitting prior authorizations through an electronic transaction, please continue to do so. The standardized prior authorization form is intended to be used to submit prior authorization requests by fax (or mail). Requesting providers should complete the standardized prior authorization form and all required health plans specific prior authorization request forms (including all pertinent medical documentation) for submission to the appropriate health plan for review.

The *Prior Authorization Request Form* is for use with the following service types:

| Services                        | Definition (includes but is not limited to the following examples)  |
|---------------------------------|---|
| Ambulatory/Outpatient Services  | Medical services provided to a member in an outpatient setting: hospital outpatient departments, hospital licensed health centers, or other hospital satellite clinics; physicians' offices; nurse practitioners' offices; freestanding ambulatory surgery centers; day treatment centers; members' home.   |
| Ancillary                       | Acupuncture, chiropractic, infertility, other specialist care.  |
| Dental Services                 | Endodontic; restorative; oral surgical procedures; maxillofacial prosthetics; other adjunctive dental services.   |
| Durable Medical Equipment (DME) | Equipment used to fulfill a medical purpose and enable mobility. Can be rented or purchased and can include wheelchairs, walkers, canes, med/surg supplies, renal supplies and prosthetic devices.  |
| Home Health/Hospice             | <b>Home health:</b> Nurse; home health aide; physical; occupational; speech therapy; respite care; infusion therapy.<br><b>Hospice:</b> Comprehensive services identified and coordinated by an interdisciplinary team to provide for the physical, psychosocial, spiritual, and emotional needs of a terminally ill member or family member.   |
| Inpatient Care/Observation      | Inpatient services are medical services provided to a member admitted to an acute inpatient hospital, including long term acute care, acute rehab, and skilled nursing facility. This category also includes medical observation.   |
| Nutrition/Counseling            | Medical nutritional therapy is nutritional diagnostic therapy and counseling services for the purpose of management of a medical condition, including enteral nutrition, infant formula, and total parental nutrition.  |
| Outpatient Therapy              | Occupational, physical, pulmonary or cardiac, and speech therapy services, including diagnostic evaluation and therapeutic intervention designed to improve, develop, correct, rehabilitate, or prevent worsening functions that affect daily living that have been lost, impaired, or reduced as a result of acute or chronic medical conditions, congenital anomalies, or injuries. |
| Transportation                  | Non-emergent ground and non-emergent air modes of transportation, including ambulance.  |

The form is currently **not** intended to:

- Capture supporting clinical documentation.
  - Including plans specific templates.
- Support Behavioral Health, Radiology/Imaging, Pharmacy Services or other services that are outsourced by a payer to a vendor.

**Defining Data Elements**

|  |  |
|--|--|
| <p>Provider Information</p>                    | <ul style="list-style-type: none"> <li>• The requesting provider is the physician and the servicing provider can be the same physician as the requesting provider or the facility where the service will be provided.</li> <li>• The contact person is the person who is filling out the form.</li> </ul>  |
| <p>Diagnosis/Planned Procedure Information</p> | <ul style="list-style-type: none"> <li>• CPT codes are not required by every plan, but are required by some. Please consult the plan specific websites to see if CPT codes are required for prior authorization.</li> <li>• Examples of services that align with # of units being requested:                         <ul style="list-style-type: none"> <li>- Hours: Home health aide</li> <li>- Days: Home health; physical therapy</li> <li>- Months: DME</li> <li>- Visits: Outpatient therapies; home health (RN, PT, OT)</li> <li>- Dosage: Different measurements (mg, g, etc.) that can be used for infusion</li> </ul> </li> </ul> |
| <p>Other Information</p>                       | <ul style="list-style-type: none"> <li>• Any supporting clinical documentation should be submitted in addition to this form for prior authorization approval.</li> <li>• For services not listed, please refer to plan specific medical policies for prior authorization requirements.</li> <li>• Some services may require physician signature and should be submitted with the supporting clinical documentation.</li> </ul>   |

**Specific Prior Authorization Requirements**

Please refer to the following payer Web sites for additional information regarding plan specific documentation requirements for services that require prior authorization.

- Aetna
- BCBSMA
- BMCHP – Information about Prior Authorization in our 1) Provider Manual; 2) PA Matrix; and 3) Clinical Policies
- CeltiCare
- FCHP
- Harvard Pilgrim
- Health New England
- NHP
- Network Health
- Tufts Health Plan – Clinical Resources/Medical Necessity Guidelines
- UniCare
- United Healthcare